

Rec'd PCT/PTO 30 MAR 2005

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

DOBSON, Kevin, S.
E.I. DUPONT DE NEMOURS AND COMPANY
LEGAL PATENT RECORDS CENTER
4417 Lancaster Pike
WILMINGTON, DE 19805
ETATS-UNIS D'AMERIQUERECEIVED
PATENT RECORDS CENTER

JAN 31 2005

TO BE REVIEWED
BY ATTORNEY

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL PRELIMINARY
EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing
(day/month/year)

20.01.2005

Applicant's or agent's file reference
AD6935PCT

IMPORTANT NOTIFICATION

International application No.
PCT/US 03/38099International filing date (day/month/year)
26.11.2003Priority date (day/month/year)
27.11.2002

Applicant

E. I. DU PONT DE NEMOURS AND COMPANY

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

EEL NOTED

27 May 2005

Name and mailing address of the international
preliminary examining authority:

European Patent Office - P.B. 5818 Patentlaan 2
NL-2280 HV Rijswijk - Pays Bas
Tel. +31 70 340 - 2040 Tx: 31 651 epo nl
Fax: +31 70 340 - 3016

Authorized Officer

Dekker, M



Tel. +31 70 340-4046



PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT
(PCT Article 36 and Rule 70)

Applicant's or agent's file reference AD6935PCT		FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/PEA/416)	
International application No. PCT/US 03/38099	International filing date (day/month/year) 26.11.2003	Priority date (day/month/year) 27.11.2002	
International Patent Classification (IPC) or both national classification and IPC C08L29/14			
Applicant E. I. DU PONT DE NEMOURS AND COMPANY			
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 4 sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of sheets.</p>			
<p>3. This report contains indications relating to the following items:</p> <p>I <input checked="" type="checkbox"/> Basis of the opinion</p> <p>II <input type="checkbox"/> Priority</p> <p>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p>IV <input type="checkbox"/> Lack of unity of invention</p> <p>V <input checked="" type="checkbox"/> Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI <input type="checkbox"/> Certain documents cited</p> <p>VII <input type="checkbox"/> Certain defects in the international application</p> <p>VIII <input type="checkbox"/> Certain observations on the international application</p>			
Date of submission of the demand 21.06.2004		Date of completion of this report 20.01.2005	
Name and mailing address of the international preliminary examining authority:  European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016		Authorized Officer Rodriguez, L Telephone No. +31 70 340-3554 	

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/38099

I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

Description, Pages

1-9 as originally filed

Claims, Numbers

1-18 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No.

PCT/US 03/38099

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;
citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes: Claims	7,8,15,16
	No: Claims	1-6,9-14,17-18
Inventive step (IS)	Yes: Claims	
	No: Claims	1-18
Industrial applicability (IA)	Yes: Claims	1-18
	No: Claims	

2. Citations and explanations

see separate sheet

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1). **WO-A-9507940** (D1) describes a sheet made of a matrix of polyvinylbutyral (PVB) that comprises dispersed particles of crosslinked PVB. The particles can be present in an amount of up to 40 wt.-% of the sheet. The crosslinking agent is glutaraldehyde, a functional equivalent of glutaric acid (cf. claims 1,3,6).

D1 is novelty-destroying for the subject-matter of present claims 1,2,5,6,9,12,13,17 and 18 (Art. 33(2) PCT).

2). **EP-A-0360420** (D2) describes compositions of crosslinked PVB that forms a semi-interpenetrating network in a continuous phase of a thermoplastic material, preferably a polylactone. The compositions comprise between 55-95% of (uncrosslinked) PVB and 2-25% of thermoplastic polymer. The compositions are useful in the preparation of films and coatings for retroreflective articles (cf. passages cited in the ISR).

D2 is novelty-destroying for the subject-matter of present claims 1-6, 9-14, 17-18 (Art. 33(2) PCT).

3). **WO-A-0212356** (D3), a document cited in the application, describes compositions of a modified, non-blocking PVB and a thermoplastic polymer that is preferably PVC or PP. D3 is considered to represent the closest prior art.

The subject-matter of the application, as far as it is novel, differs from D3 only in that the PVB is crosslinked.

Crosslinked PVB and its properties are known from D1, D2 and **WO-A-0179305** (4).

It appears obvious for the skilled person that the PVB in the compositions of D3 can be crosslinked with crosslinking agents that react with the hydroxyl groups in order to provide useful compositions.

The subject-matter of present claims 1 to 18 does not involve an inventive step (Art. 33(3) PCT).